



**DEPARTMENT OF CONSUMER AND BUSINESS SERVICES  
DIVISION OF FINANCE AND CORPORATE SECURITIES**

**IN THE MATTER OF:**

Richardson Equities,  
LLC

) SUBPOENA DUCES TECUM

) Number: S-4181 (a)

**TO: Custodian of Records  
c/o Jeffery Vail  
Bank of America  
390 High St NE  
Salem, OR 97301**

**IN THE NAME OF THE STATE OF OREGON:**

Pursuant to ORS 59.315, of the Oregon Securities Law, you are required to appear at the following location and on the date and time specified to give testimony in the above entitled matter.

Location:

Oregon Division of Finance &  
Corporate Securities  
350 Winter St. NE, Room 410  
Labor and Industries Building  
Salem, Oregon 97301-3881

Date/Time:

**Produce:**  
February 15, 2008 10:00 a.m.

**Appear:**

February 15, 2008 10:00 a.m.

DATED this 25th day of January, 2008

**Cory Streisinger, Director  
Department of Consumer and Business Services**

By: Dwayne M. Edsinga  
**Dwayne M. Edsinga  
Securities Investigator  
(503) 947-7384**

**“PARTY OR PARTIES OF INTEREST” means Jeremy Richardson, Richardson Equities, LLC, and account number 004851301527.**

### **INTERROGATORY**

1) Identify any and all active or inactive deposit or demand accounts, including savings, checking, or time deposit accounts, safety deposit boxes, or any other accounts maintained by your financial institution which are associated with the Parties of Interest. This includes accounts where the Parties of Interest are named as accountholders or signators, or safety deposit boxes where the Parties of Interest are authorized to access. Identify each account or safety deposit box by number, branch location, name in which the account or safety deposit box is held, date of opening and closing if applicable, and taxpayer or accountholder identification.

### **ITEMS TO BE PRODUCED**

1) Transaction analysis. A transaction analysis/report for any and all bank accounts at your financial institution for the parties of interest, covering the period from opening to current date and time which reflects deposits, withdrawals, wire transfers in and out, and debit or credit advices.

2) Signature cards. Copies of the signature cards and any and all other documents used to open the account(s) described above and any other identifying information for the account holder(s), including dates of birth, taxpayer identification numbers, addresses and telephone numbers.

3) Deposit Items/Checks/drafts/ATM. Copies of any and all deposit items, checks, or drafts (front and back sides) drawn on any account identified above, and any and all records related to automated teller activity for any account identified above.

4) Notices/advices. Copies of any and all overdraft notices, debit or credit advices, and correspondence or memoranda by or between your financial institution and the parties of interest or for any account identified above.

5) Wires/EFT. Copies of any and all wire transfer forms, applications for wire transfers, or records relating to the electronic deposit and withdrawal of funds for the above account(s).

6) FDIC reports. Copies of any FDIC forms entitled Report of Apparent Crime (long or short form), if any, prepared by your financial institution regarding any of the account(s), accountholder(s), or parties of interest identified above.

7) Savings accounts: Copies of deposit and withdrawal slips, IRS Form 1099, signature cards, monthly statements, and a transaction analysis for any active or inactive savings accounts from opening to date related to the parties of interest.